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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/783,610	02/19/2004	Vladek Kasperchik	10004809-1	1622

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INTELLECTUAL PROPERTY ADMINISTRATION  
FORT COLLINS, CO 80527-2400

EXAMINER
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SHEWAREGED, BETELHEM

ART UNIT	PAPER NUMBER
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1774

MAIL DATE	DELIVERY MODE
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08/31/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	Application No. 10/783,610	Applicant(s) KASPERCHIK ET AL.	
	Examiner Betelhem Shewareged	Art Unit 1774	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 28 June 2007.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-5 and 7-49 is/are pending in the application.
- 4a) Of the above claim(s) 15-35 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-5, 7-14 and 36-49 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### DETAILED ACTION

1. Applicant's response filed on 06/28/2007 has been fully considered. The 35 USC 112 rejection has been withdrawn in view of Applicant's comments.
2. Claim 1 is amended, claim 6 is canceled and claims 1-5 and 7-49 are pending. Claims 15-35 are withdrawn from consideration as non-elected invention.

### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-5, 7-13 and 49 are rejected under 35 U.S.C. 103(a) as being unpatentable over Otaki et al. (US 6,849,149 B2) in view of Coates (US 4,893,887).
5. Otaki discloses a laminate comprising a transparent protective layer, a hologram, transparent adhesive, recorded information, and a transparent film, in the order thereof (Fig. 10 and col. 26, line 47 thru col. 37, line 63). The transparent protective layer 206 is equivalent to the claimed protective layer, hologram 201 is equivalent to the claimed metallic layer, the transparent adhesive 205b is equivalent to the claimed adhesive layer, the transparent film 203 and the information 202 are equivalent to the claimed printable layer. At least the transparent film 203 is colored (col. 35, line 12), wherein the colorant that is used to make this layer colored is equivalent to the claimed additives

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configured for light stabilization, light resistance and/or vapor resistance. The transparent film can be made of polyethylene terephthalate or polyethylene (col. 34, line 30 and col. 32, line 46). In order to improve the writing quality, a writing layer formed by coating a coating composition with fine particles, such as silica, being incorporated therein is provided on the transparent film (col. 34, lines 53-57). The writing layer is equivalent to the claimed ink receiving layer. The transparent protective film is made of acrylic (col. 32, line 46), and has a thickness of 10-100  $\mu\text{m}$  (col. 32, line 43). Otaki does not teach a metal hologram.

6. Coates teaches a metal hologram having a thickness of 0.02 to 0.1  $\mu\text{m}$  (col. 2, line 42). Coates does not teach adding a colorant to the metal hologram. However, Official Notice is taken because changing the color of an article by adding a colorant is a common knowledge. At the time of the invention it would have been obvious to a person of ordinary skill in the art to control/change the color of the metal hologram by adding the desired colorant.

7. Otaki and Coates are analogous art because they are from the same field of endeavor that is the hologram laminate art. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to combine the metal hologram of Coates with the invention of Otaki in order to provide a reflective and durable hologram.

***Response to Arguments***

8. Applicant's arguments with respect to claims 1-5, 7-13 and 49 have been considered but are moot in view of the new ground(s) of rejection. Otaki teaches the newly added limitation (col. 35, line 12).

9. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Otaki et al. (US 6,849,149 B2) in view of Coates (US 4,893,887).

10. Otaki discloses a laminate comprising a transparent protective layer, a hologram, transparent adhesive, recorded information, and a transparent film, in the order thereof (Fig. 10 and col. 26, line 47 thru col. 37, line 63). The transparent protective layer 206 is equivalent to the claimed protective layer, hologram 201 is equivalent to the claimed metallic layer, the transparent adhesive 205b is equivalent to the claimed adhesive layer, the transparent film 203 and the information 202 are equivalent to the claimed printable layer. At least the transparent film 203 is colored (col. 35, line 12), wherein the colorant that is used to make this layer colored is equivalent to the claimed additives configured for light stabilization, light resistance and/or vapor resistance. The transparent film can be made of polyethylene terephthalate or polyethylene (col. 34, line 30 and col. 32, line 46). In order to improve the writing quality, a writing layer formed by coating a coating composition with fine particles, such as silica, being incorporated therein is provided on the transparent film (col. 34, lines 53-57). The writing layer is equivalent to the claimed ink receiving layer. The transparent protective film is made of

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acrylic (col. 32, line 46), and has a thickness of 10-100 um (col. 32, line 43). Otaki does not teach a metal hologram.

11. Coates teaches a metal hologram having a thickness of 0.02 to 0.1um (col. 2, line 42). Coates does not teach adding a colorant to the metal hologram. However, Official Notice is taken that changing the color of an article by adding a colorant is a common knowledge. At the time of the invention it would have been obvious to a person of ordinary skill in the art to control/change the color of the metal hologram by adding the desired colorant.

12. Otaki and Coates are analogous art because they are from the same field of endeavor that is the hologram laminate art. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to combine the metal hologram of Coates with the invention of Otaki in order to provide a reflective and durable hologram.

### ***Response to Arguments***

13. Response to argument with respect to claim 14:

14. The test for obviousness is not whether the features of a secondary reference may be bodily incorporated into the structure of the primary reference; nor is it that the claimed invention must be expressly suggested in any one or all of the references.

Rather, the test is what the combined teachings of the references would have suggested to those of ordinary skill in the art. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981).

15. Applicant's argument is based on that the methods of Coates, such as sputtering and vacuum depositing the layer of metal, would not create a foil. This argument is not persuasive for the following reason. Even though the metal hologram of Coates is provided via sputtering and vacuum depositing, there is nothing that suggests the layer is not self supporting after it has been formed. The type of metal is substantially identical to the type of metal of the claimed invention, and the thickness of the metal hologram is within the thickness of the claimed invention; therefore, the reference provides enough evidence to conclude that after the metal hologram of Coates is formed, a metal foil would be created.

16. Claims 36-48 are rejected under 35 U.S.C. 103(a) as being unpatentable over Otaki et al. (US 6,849,149 B2) in view of Coates (US 4,893,887).

17. Otaki discloses a laminate comprising a transparent protective layer, a hologram, transparent adhesive, recorded information, and a transparent film, in the order thereof (Fig. 10 and col. 26, line 47 thru col. 37, line 63). The transparent protective layer 206 is equivalent to the claimed protective layer, hologram 201 is equivalent to the claimed metallic layer, the transparent adhesive 205b is equivalent to the claimed adhesive layer, the transparent film 203 and the information 202 are equivalent to the claimed printable layer. At least the transparent film 203 is colored (col. 35, line 12), wherein the colorant that is used to make this layer colored is equivalent to the claimed additives configured for light stabilization, light resistance and/or vapor resistance. The

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transparent film can be made of polyethylene terephthalate or polyethylene (col. 34, line 30 and col. 32, line 46). In order to improve the writing quality, a writing layer formed by coating a coating composition with fine particles, such as silica, being incorporated therein is provided on the transparent film (col. 34, lines 53-57). The writing layer is equivalent to the claimed ink receiving layer. The transparent protective film is made of acrylic (col. 32, line 46), and has a thickness of 10-100  $\mu\text{m}$  (col. 32, line 43). Otaki does not teach a metal hologram. The information 202 is provided on the transparent film 203 (col. 34, line 26), thus at least in this embodiment the hologram 201 is information 202 free.

18. Coates teaches a metal hologram having a thickness of 0.02 to 0.1  $\mu\text{m}$  (col. 2, line 42). Coates does not teach adding a colorant to the metal hologram. However, Official Notice is taken because changing the color of an article by adding a colorant is a common knowledge. At the time of the invention it would have been obvious to a person of ordinary skill in the art to control/change the color of the metal hologram by adding the desired colorant.

19. Otaki and Coates are analogous art because they are from the same field of endeavor that is the hologram laminate are. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to combine the metal hologram of Coates with the invention of Otaki in order to provide a reflective and durable hologram.



***Conclusion***

20. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Betelhem Shewareged whose telephone number is 571-272-1529. The examiner can normally be reached on Mon.-Fri. 8:00AM-4:30PM.

21. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Milton Cano can be reached on 571-272-1398. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

22. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

BS  
August 28, 2007.

